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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/805,755	03/22/2004	Shinji Kuno	6639P011	1246
7590 Blakely, Sokoloff, Taylor & Zafman LLP 7th Floor 12400 Wilshire Boulevard Los Angeles, CA 90025			EXAMINER UNELUS, ERNEST	
			ART UNIT 2181	PAPER NUMBER
SHORTENED STATUTORY PERIOD OF RESPONSE 3 MONTHS		MAIL DATE 02/21/2007	DELIVERY MODE PAPER	

Please find below and/or attached an Office communication concerning this application or proceeding.

If NO period for reply is specified above, the maximum statutory period will apply and will expire 6 MONTHS from the mailing date of this communication.

<b>Office Action Summary</b>	<b>Application No.</b>	<b>Applicant(s)</b>	
	10/805,755	KUNO, SHINJI	
	<b>Examiner</b>	<b>Art Unit</b>	
	Ernest Unelus	2181	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --  
**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

#### **Status**

- 1) Responsive to communication(s) filed on 29 November 2006.
- 2a) This action is **FINAL**.                            2b) This action is non-final.
- 3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

#### **Disposition of Claims**

- 4) Claim(s) 1-3,8 and 18-30 is/are pending in the application.
  - 4a) Of the above claim(s) 8 is/are withdrawn from consideration.
- 5) Claim(s) \_\_\_\_\_ is/are allowed.
- 6) Claim(s) 1-3 and 18-30 is/are rejected.
- 7) Claim(s) \_\_\_\_\_ is/are objected to.
- 8) Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

#### **Application Papers**

- 9) The specification is objected to by the Examiner.
- 10) The drawing(s) filed on 03/22/04 is/are: a) accepted or b) objected to by the Examiner.
 

Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).

Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

#### **Priority under 35 U.S.C. § 119**

- 12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
  - a) All    b) Some \* c) None of:
    1. Certified copies of the priority documents have been received.
    2. Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
    3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

#### **Attachment(s)**

- 1) Notice of References Cited (PTO-892)
- 2) Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) Information Disclosure Statement(s) (PTO/SB/08)  
Paper No(s)/Mail Date \_\_\_\_\_
- 4) Interview Summary (PTO-413)  
Paper No(s)/Mail Date. \_\_\_\_\_
- 5) Notice of Informal Patent Application
- 6) Other: \_\_\_\_\_

**DETAILED ACTION**

**RESPONSE TO AMENDMENT**

Applicant's arguments with respect to claim 1-3 and 18-30 have been considered but are moot in view of the new ground(s) of rejection.

The instant application having application No. 10/805,755 has a total of 30 claims. After a first action on the merits, claims 4-7 and 9-17 are cancelled and claim 8 are withdrawn from consideration and claims 1-3 and 18-30, which 18-30 are new, are now pending in the application; within claims 1-3 and 18-30, which are a total of 15, have 2 independent claims and 13 dependent claims, all of which are ready for examination by the examiner.

**I. INFORMATION CONCERNING OATH/DECLARATION**

*Oath/Declaration*

1. The applicant's oath/declaration has been reviewed by the examiner and is found to conform to the requirements prescribed in 37 C.F.R. 1.63.

**II. INFORMATION CONCERNING DRAWINGS**

*Drawings*

2. The applicant's drawings submitted are acceptable for examination purposes.

**III. REJECTIONS BASED ON PRIOR ART**

**Claim Rejections - 35 USC § 102**

3. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

4. **Claims 1-3, 18-19, 21, 23-25, and 28-30**, are rejected under 35 U.S.C. 102(e) as being anticipated by Shimizu et al. (US pat. 6,609,977).

5. As per **claim 1**, Shimizu discloses “An apparatus (system 50 of fig. 2) comprising: a drive device (mass storage access device 106 of fig. 2, as discloses in col. 6, line 59); a communication bus (the communication bus between the main processor 110 and the graphics and audio processor 114, as discloses in col. 7, lines 25-31); a first processor (Main Processor 110) coupled to the communication bus (see fig. 2), the first processor to decode a first stream data routed over the communication bus (see col. 6, line 64 to col. 7, line 3, which discloses the main processor generating a command and using the graphics and audio processor to transmit this to an audio codec 122, which is encoding and decoding); a second processor (Graphics and Audio Processor 114) provided with a second stream data (‘audio output of mass storage access device 106’) received from the drive device without being routed over the communication bus (col. 7, lines 13-17, discloses “Audio codec 122 can receive audio inputs via a buffer 124 and provide them to graphics and audio processor 114 for processing (e.g., mixing with other audio signals the processor generates and/or receives via

**a streaming audio output of mass storage access device 106)". In other word, the graphics and audio processor receives from the mass storage access device and transmits to the audio codec**), the second processor to decode the second stream data to reproduce the second stream data in accordance with an instruction sent from the first processor over the communication bus (in regards to this limitation, fig. 2, shows the audio codec to decode the 'audio output of mass storage access device 106'. Also, col. 6, lines 64-66, discloses "In this example, main processor 110 generates 3D graphics and audio commands and sends them to graphics and audio processor 114". In other word, as the 'main' processor generates instructions to be executed by the graphics and audio processor, such as how the graphics and audio processor processes I/O. Also, as disclose in col. 8, lines 21-23, "Main processor 110 communicates streams of data (e.g., graphics command streams and display lists) to command processor 200"; note, this command processor is inside the graphics and audio processor).

6. As per claim 2, Shimizu discloses "The apparatus according to claim 1," [See rejection to claim 1 above] "wherein the second processor is a stream processor" (col. 7, lines 13-17 discloses the graphics and audio processor to be processing audio stream, as also discloses in fig. 2).

7. As per claim 3, Shimizu discloses wherein the first processor is a central processing unit (CPU) (see col. 6, line 50).

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8. As per claim 18, Shimizu discloses wherein the drive device is a hard disk drive (see **hard disk drive 62 of fig. 2**).

9. As per claim 19, Shimizu discloses wherein a network control unit (**controllers 52**, as **discloses in fig. 1**) coupled (col. 6, lines 25 –27, **discloses that it can be coupled wirelessly or through a cables**) to the communication bus (see **fig. 1**), the network control unit to transmit the first stream data via the communication bus (see **col. 6, lines 53-55, which discloses “In this example, main processor 110 (e.g., an enhanced IBM Power PC 750) receives inputs from handheld controllers 52”, which causes the main processor to transmit a command, using the communication bus, to audio processor 114**).

10. As per claim 21, Shimizu discloses wherein the second stream data (**“audio output of mass storage access device 106”**) includes video data and audio data (**col. 6, line 29 discloses the storage medium 62 of fig. 2 being a video game; also, col. 7, lines 13-17, discloses “Audio codec 122 can receive audio inputs via a buffer 124 and provide them to graphics and audio processor 114 for processing (e.g., mixing with other audio signals the processor generates and/or receives via a streaming audio output of mass storage access device 106)”**).

11. As per claim 23, Shimizu further discloses: a video bus (**the communication bus between the main processor video/encoder 120 and the graphics and audio processor 114, as discloses in fig. 2**); and a graphic controller (**Graphics and Audio Processor 114**), which

has a **3D Graphics processor**) in communication with the first processor and the second processor (see **fig. 3**), the graphic controller to convert the decoded first stream data into display video signals and to transmit the display video signals to the second processor over the video bus (see **fig. 3**).

12. As per **claims 24 and 29**, Shimizu discloses wherein the second processor superposes the display video signals transmitted over the video bus on a video image generated from the decoded second stream data in accordance with display information transferred from the first processor to the second processor over the communication bus (see **fig. 2 and col. 7, lines 13-17, which discloses “Audio codec 122 can receive audio inputs via a buffer 124 and provide them to graphics and audio processor 114 for processing (e.g., mixing with other audio signals the processor generates and/or receives via a streaming audio output of mass storage access device 106)”. In other word, the graphics and audio processor receives from the mass storage access device and transmits to the audio codec. Also, col. 6, lines 64-66, discloses “In this example, main processor 110 generates 3D graphics and audio commands and sends them to graphics and audio processor 114”. In other word, as the ‘main’ processor, it generate instructions to be executed by the graphics and audio processor, such as how the graphics and audio processor to process I/O. as also discloses in col. 8, lines 21-23, “Main processor 110 communicates streams of data (e.g., graphics command streams and display lists) to command processor 200”; note, this command processor is inside the graphics and audio processor).).**

13. As per **claims 25 and 30**, Shimizu discloses wherein the display information includes information designating a region in a drawing area and a transparency rate at the display video signals on a screen (see **col. 57 line 36 to col. 58, line 8**).

14. As per **claim 28**, Shimizu discloses “An apparatus (**system 50 of fig. 2**) comprising: a communication bus (**the communication bus between the main processor 110 and the graphics and audio processor 114, as discloses in col. 7, lines 25-31**); a drive device (**mass storage access device 106 of fig. 2, as discloses in col. 6, line 59**); a video terminal (**video encoder 120 of fig. 2**); a first processor (**Main Processor 110**) coupled to the communication bus (see **fig. 2**), the first processor to decode a first stream data routed over the communication bus (see **col. 6, line 64 to col. 7, line 3, which discloses the main processor generating a command and using the graphics and audio processor to transmit this to an audio codec 122, which is encoding and decoding**); and a second processor (**Graphics and Audio Processor 114**) coupled to the drive device, the video terminal, and the first processor (see **fig. 2**), the second processor being provided with a second stream data (**‘audio output of mass storage access device 106’**) sent from the drive device without use the communication bus (**col. 7, lines 13-17, discloses “Audio codec 122 can receive audio inputs via a buffer 124 and provide them to graphics and audio processor 114 for processing (e.g., mixing with other audio signals the processor generates and/or receives via a streaming audio output of mass storage access device 106”**). In other word, **the graphics and audio processor receives from the mass storage access device and transmits to the audio codec**), the second processor to (i) decode the second stream data for reproducing the second stream data in accordance with an

instruction sent from the first processor via the communication bus and (ii) display video signals, that are based on the decoded first stream data and transmitted by the first processor over a video bus separate from the communication bus, on the video terminal (**in regards to this limitation, fig. 2, shows the audio codec decoding the ‘audio output of mass storage access device 106’.** Also, col. 6, lines 64-66, discloses “In this example, main processor 110 generates 3D graphics and audio commands and sends them to graphics and audio processor 114”. In other word, as the ‘main’ processor, it generate instructions to be executed by the graphics and audio processor, such as how the graphics and audio processor to process I/O. as also discloses in col. 8, lines 21-23, “Main processor 110 communicates streams of data (e.g., graphics command streams and display lists) to command processor 200”; note, this command processor is inside the graphics and audio processor).

**Rejections - 35 USC § 103**

15. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

16. **Claims 20 and 22**, are rejected under 35 U.S.C. 103(a) as being unpatentable over Shimizu et al. (US pat. 6,609,977) in view of Witt (US pub. 2004/0109005).

17. As per claim 20, Shimizu discloses, “The apparatus according to claim 1,“ [see rejection to claim 1 above], including a control unit but fails to specifically disclose, “wherein the control unit includes an IEEE 1394 processor”.

Witt discloses wherein the control unit includes an IEEE 1394 processor (see paragraph 0035, which discloses the IOP 700, as a control unit being an IEEE 1394 processor).

Shimizu et al. (US pat. 6,609,977) and Witt (US pub. 2004/0109005) are analogous art because they are from the same field of endeavor of video data processing.

At the time of the invention it would have been obvious to a person of ordinary skill in the art to modify a serial interface for interfacing an audio and graphics coprocessor with a variety of different types of accessory devices including but not limited to hand-held game controllers as taught by Shimizu and a video processing method for preparing an anti-aliased foreground image for display over an image background as taught by Witt.

The motivation for doing so would have been because Witt teaches, “The IOP 700 has a Direct Memory Access (DMA) architecture to facilitate rapid data transfer rates” (see paragraph 0035).

Therefore, it would have been obvious to combine Witt (US pub. 2004/0109005) and Shimizu et al. (US pat. 6,609,977) for the benefit of creating the apparatus to obtain the invention as specified in claim 20.

18. As per claim 22, the combination of Shimizu and Witt discloses “The apparatus according to claim 1,“ [See rejection to claim 1 above], Witt further discloses, “wherein the communication bus is a Peripheral Component Internet (PC1) bus” (see paragraph 0065).

19. Claims 26 and 27, are rejected under 35 U.S.C. 103(a) as being unpatentable over Shimizu et al. (US pat. 6,609,977) in view of Ochiai et al. (US pat. 6,757,482).

20. As per claim 26, Shimizu discloses, "The apparatus according to claim 1," [see rejection to claim 1 above], including a third stream data to the second processor for storage into a storage medium associated with the drive device (see fig. 2 and col. 7, lines 25-31), but fails to specifically disclose a television tuner adapted to transmit a third stream data to the second processor for storage into a storage medium associated with the drive device.

Ochiai discloses wherein a television tuner adapted to transmit a third stream data to the second processor for storage into a storage medium associated with the drive device (see col. 5, lines 23-30 and fig. 2).

Shimizu et al. (US pat. 6,609,977) and Witt Ochiai et al. (US pat. 6,757,482) are analogous art because they are from the same field of endeavor of video data processing. At the time of the invention it would have been obvious to a person of ordinary skill in the art to modify a serial interface for interfacing an audio and graphics coprocessor with a variety of different types of accessory devices including but not limited to hand-held game controllers as taught by Shimizu and a method and a device for dynamically editing broadcast data (terrestrial or satellite) or cablecast data received by a receiving terminal (TV tuner etc.) as taught by Ochiai.

The motivation for doing so would have been because Ochiai teaches a TV tuner allow you to receive broadcast data (video data and audio data) of broadcast programs (col. 5, lines 23-30).

Therefore, it would have been obvious to combine Ochiai et al. (US pat. 6,757,482) and Shimizu et al. (US pat. 6,609,977) for the benefit of creating the apparatus to obtain the invention as specified in claim 26.

21. As per **claim 27**, the combination of Shimizu and Ochiai discloses “The apparatus according to claim 1,” [See **rejection to claim 1 above**], Ochiai discloses a television tuner (see **fig. 2**) and Shimizu further discloses “a transport stream bus (**parallel bus 130 of fig. 2**) coupled to the second processor, the transport stream bus enables transmission of the third stream data to the second processor without using the communication bus” (see **col. 7, lines 25-31**).

#### **IV. RELEVANT ART CITED BY THE EXAMINER**

22. The following prior art made of record and not relied upon is cited to establish the level of skill in the applicant’s art and those arts considered reasonably pertinent to applicant’s disclosure. See **MPEP 707.05(c)**.

23. The following reference teaches an apparatus comprising; a communication bus; a drive device; a video terminal; a first and a second processor.

#### **U.S. PATENT NUMBER**

US pub. 2002/0133754

US pub. 2002/0161974

#### **V. CLOSING COMMENTS**

**Conclusion**

**a. STATUS OF CLAIMS IN THE APPLICATION**

24. The following is a summary of the treatment and status of all claims in the application as recommended by M.P.E.P. 707.07(i):

**a(1) CLAIMS REJECTED IN THE APPLICATION**

25. Per the instant office action, claims 1-3 and 18-30 have received a final action on the merits.

**THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

**b. DIRECTION OF FUTURE CORRESPONDENCES**

26. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Ernest Unelus whose telephone number is (571) 272-8596. The examiner can normally be reached on Monday to Friday 9:00 AM to 5:00 PM.

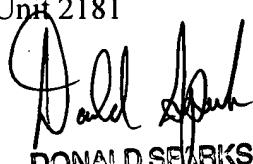
**IMPORTANT NOTE**

27. If attempts to reach the above noted Examiner by telephone is unsuccessful, the Examiner's supervisor, Mr. Donald Sparks, can be reached at the following telephone number: Area Code (571) 272-4201.

The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300. Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

February 09, 2007

Ernest Unelus  
Examiner  
Art Unit 2181

  
DONALD SPARKS  
SUPERVISORY PATENT EXAMINER